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Repositioning chloroquine and metformin to eliminate cancer stem cell traits in pre-malignant lesions

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ABSTRACT

Ideal oncology drugs would be curative after a short treatment course if they could eliminate epithelium-originated carcinomas at their non-invasive, pre-malignant stages. Such ideal molecules, which are expected to molecularly abrogate all the instrumental mechanisms acquired by migrating cancer stem cells (CSCs) to by-pass tumour suppressor barriers, might already exist. We here illustrate how system biology strategies for repositioning existing FDA-approved drugs may accelerate our therapeutic capacity to eliminate CSC traits in pre-invasive intraepithelial neoplasias. First, we describe a signalling network signature that overrides bioenergetics stress- and oncogene-induced senescence (OIS) phenomena in CSCs residing at pre-invasive lesions. Second, we functionally map the anti-malarial chloroquine and the anti-diabetic metformin (“old drugs”) to their recently recognized CSC targets (“new uses”) within the network. By discussing the preclinical efficacy of chloroquine and metformin to inhibiting the genesis and self-renewal of CSCs we finally underscore the expected translational impact of the “old drugs–new uses” repurposing strategy to open a new CSC-targeted chemoprevention era.

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1. Introduction

An effective reduction of breast cancer mortality largely depends on our therapeutic ability to successfully intervene in the critical transition from non-invasive ductal carcinoma *in situ* (DCIS) to life-threatening invasive breast cancer (IBC). Our ever increasing knowledge of molecular and pathway biology in DCIS lesions can facilitate hypothesis-driven therapeutic strategies aimed to arrest invasion at the pre-malignant state of BC disease (Espina and Liotta, 2011). Because DCIS cells should adapt to survive in the highly stressful microenvironment of the intraductal niche (Gatenby and Gillies, 2008; Menendez and Lupu, 2007), they must circumvent hypoxia-induced apoptotic death while avoiding nutrient stress-induced senescence. Beyond evading biophysical constraints, DCIS cells must make use of alternative sources of energy such as the autophagic pathway, a major catabolic process that may permit

DCIS starving cells to recycling intracellular components during periods of metabolic stress to maintain homeostasis and viability (Lum et al., 2005; Mathew et al., 2007). Remarkably, this metabolic adaptation appears to occur in DCIS tumour-founding progenitor cells because pre-malignant, cytogenetically abnormal DCIS spheroid-forming cells directly isolated from human DCIS lesions have increased expression of autophagy-associated proteins that persist in culture and in tumours generated by these cells in immunosuppressed NOD/SCID mice (Espina et al., 2010). Given that: (a) the anti-autophagy small-molecule chloroquine has been found to kill DCIS progenitor spheroids and prevent their tumorigenicity in mice *via* reduced expression of autophagy-associated proteins (Espina et al., 2010) and (b) the BC invasive phenotype is already genetically programmed at pre-invasive stages of disease progression (*i.e.* DCIS lesions), pharmacological abrogation of autophagy may be viewed as a novel therapeutic strategy for BC chemoprevention. This scenario strongly supports the Preventing Invasive Neoplasia with Chloroquine (PINC) trial (NCT01023477), which will measure the effectiveness of chloroquine administration to patients with low-grade, intermediate-grade or high-grade DCIS to directly test the hypothesis that pharmacological blockade of autophagy is an effective treatment for DCIS (Espina and Liotta, 2011).

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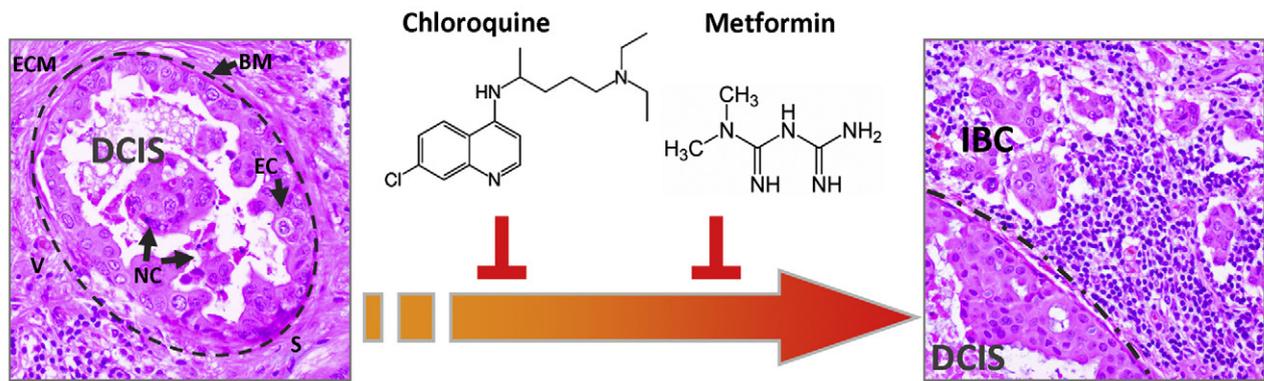


Fig. 1. Prevention and treatment of pre-malignant lesions for accelerated development of existing anti-CSC drugs. Cell adaptation to chronic stressful conditions that occur in oxygen- and nutrient-starved areas of pre-malignant DCIS lesions (left) could lead to the generation of CSC within the mass of cells accumulating in the duct before the onset of BC invasion (right). Repositioning pre-existing drugs such as chloroquine and metformin to molecularly impede all the instrumental mechanisms acquired by migrating CSCs to by-pass tumour suppressive barriers may open a new chemoprevention era aimed to curatively inhibit the genesis and self-renewal of CSCs (BM: basement membrane – dashed line; EC: epithelial cells; ECM: extracellular matrix; IBC: invasive breast cancer; S: stroma; V: blood vessels).

Developing a known drug (e.g. chloroquine, which is the drug of choice used for the prophylaxis treatment of malaria because it is effective, low toxic to humans, and inexpensive) for another clinical purpose (e.g. prevention of the invasive progression of pre-malignant lesions such as DCIS in BC) is termed *repositioning* or *repurposing*. This approach can be very effective to develop new oncology therapeutics since many existing drugs have been studied for their pharmacokinetics and safety profiles and often have already been approved by the regulatory agencies FDA (US), EMEA (Europe) and MHLW (Japan). Here, we exemplify how system biology strategies for repositioning regulatory agencies-approved drugs chloroquine and metformin may accelerate our therapeutic capacity to prevent invasive progression of pre-invasive intraepithelial neoplasias by eliminating cancer stem cell (CSC) traits (Fig. 1). First, we illustrate a signalling network signature that CSCs should necessarily acquire to successfully override intrinsic tumour suppressor barriers (e.g. metabolic- and oncogene-induced senescence) activated in pre-malignant lesions. Second, we functionally map the anti-malarial chloroquine and the anti-diabetic metformin (the “old drugs”) to their presumed CSC molecular targets (the “new uses”) within the network: chloroquine, by inhibiting autophagy, is expected to impede a crucial manner of energy production that allows CSCs to survive hypoxic and nutrient-deprived microenvironments. Metformin, by preventing the molecular transition of epithelial tumour cells to embryonic mesenchymal phenotypes (EMT), is expected to block an essential senescence escape mechanism while nullifying EMT-driven CSC features. We finally discuss the preclinical efficacy of the repositioned drugs to inhibiting the genesis and self-renewal of CSCs, thus underscoring the translational impact of the “old drugs–new uses” repurposing strategy, which may rapidly provide us with ideal, curative oncology drugs able to arrest epithelium-originated carcinomas at their non-invasive, pre-malignant stages.

2. Autophagy and oncogene-induced senescence (OIS): more than friends

Besides biophysical stress-induced senescence, DCIS lesions should circumvent also oncogene-induced senescence (OIS) (Braig and Schmitt, 2006; Collado and Serrano, 2010; Serrano, 2010) before they develop into IBC. Permanent activation of certain oncogenic pathways causes cell senescence by default and many human transformed cells, before reaching full malignancy, stop proliferating and undergo senescence at the pre-malignant (non-invasive) stage, at which senescence-inducing signals (e.g. oncogenic pro-

teins, oxidative stress, persistent DNA damage) reach sufficient intensity to be effective (Braig and Schmitt, 2006; Collado and Serrano, 2010; Serrano, 2010). Proliferating IBC cells with activated oncogenes, therefore, truly represent progeny of tumour cells that have acquired mechanisms to suppress OIS in earlier stages of BC pathogenesis (e.g. DCIS). In this regard, landmark studies have revealed that autophagy is a causal pre-requisite for senescence (Young and Narita, 2010) and, accordingly, interference with autophagy impedes stress-induced senescence and significantly attenuates the extent of OIS. This scenario might appear to contradict a recent suggestion that autophagy is a main determinant of DCIS cell fate because it allows DCIS cells to survive and proliferate by evading cell cycle arrest/senescence responses to the high-stress microenvironment of the intraductal space (Espina et al., 2010). In fact, although autophagy does contribute to tumour suppression by actively controlling the senescence phenotype, if tumour cells somehow bypass OIS, autophagy would then help such cells to survive DCIS-associated biophysical stresses, unwittingly facilitating their full transformation. A causal relationship between autophagy and cell survival in DCIS lesions has been illustrated by the fact that ATG6/Beclin-1 (a haploinsufficient tumour suppressor protein that is essential for autophagy (Karantza-Wadsworth et al., 2007; Liang et al., 1999)) is upregulated in human comedo-DCIS at the viable rim of intraductal cells within the hypoxic ductal niche. On the contrary, deletion or monosomy of the *ATG6/Beclin-1* gene significantly associates with *ERBB2* oncogene amplification (both on 17q21) in a subset of BC (Negri et al., 2010). Because *ERBB2* overexpression can be found in ~25% of invasive/metastatic BC, but it takes place in 50–60% of DCIS in general and 60–70% of high-grade DCIS, these findings altogether raise the interesting question about whether an enhanced autophagic flux, while indispensable in terms of DCIS cell survival (Espina and Liotta, 2011; Espina et al., 2010; Gatenby and Gillies, 2008; Lum et al., 2005; Mathew et al., 2007; Menendez and Lupu, 2007), is also necessary and/or sufficient to promote progression from non-invasive to IBC in different cell origin subtypes of DCIS (Hannemann et al., 2006; Mugggerud et al., 2010).

2.1. Loss of autophagic genes: a chance to escape from the senescence prison

The apparent paradox that a metastasis-promoting oncoprotein (e.g. *ERBB2*) is more frequently overexpressed in non-invasive DCIS than in IBC is consistent with the prevailing view that a single oncogene is insufficient to drive IBC progression. Because *ERBB2* can be expected to trigger stress-induced premature senescence and

growth arrest of pre-malignant DCIS lesions, *ERBB2* overexpression likewise requires a collaborating molecular scenario (“second hits”) to convert the cytostatic nature of the senescence program into a pro-survival, mitogenic, and invasive process (Lu et al., 2009; Muthuswamy et al., 2001). Defective autophagy imposed by loss of *ATG6/Beclin-1* could promote the occurrence of *ERBB2* gene amplification by increasing genomic instability (Karantza-Wadsworth et al., 2007). Loss of *ATG6/Beclin-1* may provide also an efficient mechanism to bypass *ERBB2*-induced OIS, thus enhancing the ability of *ERBB2* to promote DCIS progression to IBC (Vazquez-Martin et al., 2011a,b,c). Does this mean that chloroquine will be ineffective when used against *ERBB2*-positive/*ATG6*-negative DCIS? We can only answer that the transition of subsets of (*ERBB2*-gene amplified) DCIS to (*ERBB2*-gene amplified) IBC may occur through evolutionary molecular mechanisms involving defective autophagy but maintaining an intact cell senescence response. Accordingly, *ERBB2*-positive/*ATG6*-defective advanced BC have been found to significantly retain a functional cell senescence pathway in terms of *CDKN2A* (p16INK4a) expression, further supporting the notion that pivotal genes controlling autophagy and cell senescence might obey a “principle of exclusiveness” (i.e. only one gene is generally deregulated among those with similar function and belonging to the same pathway (Vogelstein and Kinzler, 2004)). This scenario may explain the following facts: (a) treatment with the anti-*ERBB2* antibody trastuzumab induces both the activation of autophagy (Vazquez-Martin et al., 2009a,b) and the restoration of tumour suppressor responses to induce cell senescence (Arnal-Estape et al., 2010) in *ERBB2/ATG6*-positive models of advanced BC *in vitro*, and (b) loss of *ATG6/Beclin-1* in *ERBB2*-positive BC predicts better responses to trastuzumab alone or in combination with cytotoxics in the presence of competent apoptosis or senescence pathways in advanced BC *in vivo* (Negri et al., 2010).

Whereas in *bona fide ERBB2*-positive BC, the *ERBB2* oncoprotein is overexpressed in cancer stem cells (CSCs) and in bulk tumour populations, it is also possible that the *ERBB2* oncoprotein will be selectively overexpressed in CSC but not bulk BC cell populations (Liu and Wicha, 2010; Magnifico et al., 2009). The crucial findings by Magnifico et al. (2009) revealing that BCSCs express the highest level of *ERBB2* oncoprotein regardless *ERBB2* gene amplification status and that high levels of *ERBB2* protein are indispensable for BCSC survival in non *ERBB2*-gene amplified cell populations, may provide an alternative scenario in which upregulation of autophagic (e.g. *Beclin-1*) and oncogenic (e.g. *ERBB2*) proteins may concurrently occur in biophysically stressed DCIS tumour-founding progenitor cells in the absence of OIS-like responses. It would be relevant to assess whether specific, transcriptional enhancement of *ERBB2* expression in tumour-founding DCIS progenitor cells is part of the genetic program triggered by a high-stress intraductal micro-environment. Moreover, could we detect tumour-founding DCIS progenitors by immunohistochemically assessing co-upregulation of *beclin-1* and *ERBB2* proteins at the viable cell rim within the hypoxic, nutrient-deprived ductal niche? Further studies are required to evaluate the utility of immunohistochemistry in the identification of autophagic tumour cells that might express the BCSC mesenchymal phenotype $CD44^{hi}CD24^{low/-}$ (Al-Hajj et al., 2003), the frequency of which is actively enhanced by *ERBB2* (Wang et al., 2010a), in autophagic zones of hypoxic stress in pre-invasive intraductal carcinomas.

3. Epithelial-to-mesenchymal transition (EMT): bypassing oncogene- and metabolic stress-induced senescence to create cancer stem cell traits

We are beginning to recognize the existence of a complex functional interplay between OIS, autophagy and the ability of (normal

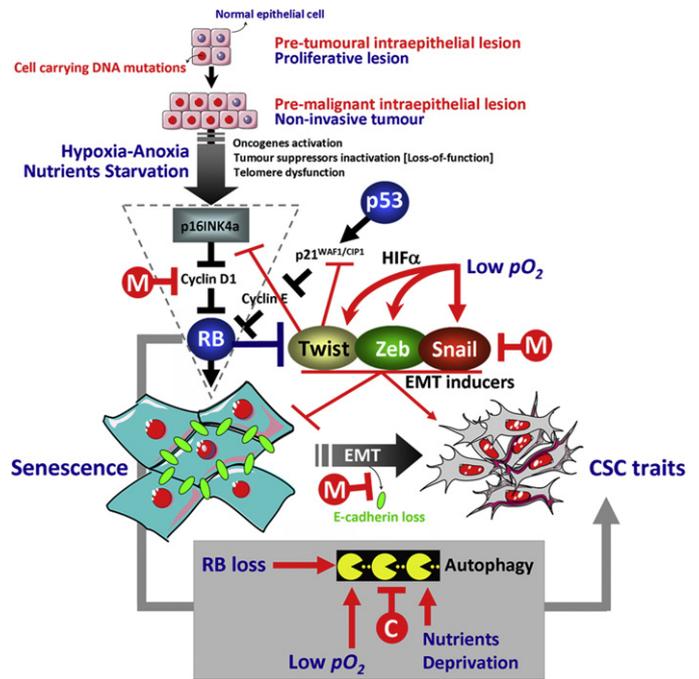


Fig. 2. The bioenergetics-EMT-cancer initiating cell axis in the pathogenesis of epithelium-originated carcinomas: a roadmap in the pursuit of targeted chemoprevention. Convergence of EMT-driven acquisition of stem cell traits with bioenergetics stress-induced autophagy in pre-malignant phenotypes may yield cancer-initiating cells that efficiently couple invasive/metastatic spread to the bypass of metabolic stress- and oncogene-induced cellular senescence. Overgrowth in intraepithelial pre-malignant lesions with an increased autophagic activity may be taken as the expansion of hypoxia-adapted, EMT-enriched CSCs populations with increased metabolic demands and, presumably, of aggressive behaviour. Drugs such as chloroquine and metformin able to simultaneously modulate the RB tumour suppressor pathway, the EMT phenomenon and/or the autophagy machinery can deliver a multiple inhibitory effect to efficiently prevent invasive progression of pre-malignant lesions (C: chloroquine; M: metformin; RB: retinoblastoma).

and transformed) epithelial cells to acquire tumour-initiating, stem cell-like features *via* induction of the epithelial-to-mesenchymal transition (EMT) genetic program (Fig. 2). These crossing paths certainly merit to be carefully considered as they may underlie the generation of so-called CSCs during tumour initiation (i.e. DCIS) and invasive/metastatic dissemination (Ansieau et al., 2008; Kalluri and Weinberg, 2009; Mani et al., 2008; Morel et al., 2008; Smit and Peeper, 2010; Weinberg, 2008). As mentioned above, additional genetic/epigenetic events are needed for oncogene-harboring DCIS cells to gain invasive capability that can efficiently drive progression of DCIS into IBC. Ansieau et al. demonstrated that whereas ectopically expressed *ERBB2* induces senescence (i.e. OIS), co-overexpression of both the transcription factor *Twist*, a prototypic EMT driver, and *ERBB2* triggers EMT and allows for senescence bypass in human (Ansieau et al., 2008). By being able to inhibit the expression of p16INK4a and p21^{WAF1/CIP1} tumour suppressor proteins, EMT-inducing transcription factors are instrumentally exploited by evolving premalignant cells to avoid or escape OIS. This molecular scenario in which the cellular transdifferentiation EMT program functions early in tumour progression by preventing OIS can also drive the acquisition of *bona fide* stem and tumorigenic features characteristics of CSCs. This crucial linkage of the EMT process with the CSC traits (i.e. high-grade malignancy, notably invasive and metastatic powers) operates through two prerequisites of tumour cell invasion, namely increased cell migration and loss/reduction of cell-cell adhesion, which are required by migrating CSC to obtain the “capability” (migration) and the “freedom” (dissemination) to escape from the original rigid constraints of the surrounding tissue architecture

(Kalluri and Weinberg, 2009; Lu et al., 2009; Smit and Peeper, 2010).

Loss of expression/function of the tumour invasion suppressor E-cadherin (*CDH1*), which is essential for the maintenance of adherent junctions between neighbouring cells and thus confers physical integrity on epithelial cells, represents the central molecular process required for EMT-mediated increase in invasion/metastasis. If activation of EMT generates migrating CSCs by directly linking E-cadherin loss-related acquisition of cellular motility with the maintenance of tumour-initiating (stemness) capacity, it could be envisioned that the hypoxic, nutrient-deprived intraductal microenvironment might directly promote the derepression of the invasive phenotype via EMT activation/E-cadherin suppression. Indeed, hypoxia has been demonstrated to efficiently promote EMT through direct regulation of *Twist* expression through the hypoxic response mediator hypoxia inducible factor-1 (HIF-1) (Peinado and Cano, 2008; Yang et al., 2008). Other authors have suggested, however, that hypoxic BC cells are only partially pushed towards EMT because hypoxia fails to universally promote a migratory phenotype despite it notably induces the expression of the transcription factor *Snail*, an other inducer of EMT (Lundgren et al., 2009). Recent studies have confirmed that hypoxia increases the expression of transcription factors *Snail* and *Slug* and decreases E-cadherin expression, two hallmarks of the EMT phenomenon (Chen et al., 2010). Thus, hypoxia's ability to simultaneously inhibit senescence and maintain mesenchymal stem cell properties through down-regulation of p21^{WAF1/CIP1} via HIF-*Twist1* is not redundant in relation to other hypoxia upregulated EMT regulators (e.g. *Snail*) (Chen et al., 2010; Lundgren et al., 2009; Tsai et al., 2011; Yang and Wu, 2008). Furthermore, new approaches that experimentally mimicked chronic exposure to hypoxia and reoxygenation occurring in solid tumours due to an irregular or a-vascular microenvironment, have demonstrated that stem-like BC cell subpopulations could be expanded through repetitive hypoxia/reoxygenation cycles without genetic manipulation (Louie et al., 2010). Of note, tumorigenic breast CSCs populations enriched by hypoxia/reoxygenation treatments exhibited both stem-like and EMT phenotypes (Louie et al., 2010). These findings strongly suggest that DCIS-associated hypoxia favours EMT, allowing the emergence of stem-like properties in subpopulations of DCIS cells capable of developing metabolic tolerance to limiting availability of oxygen and nutrients.

4. DCIS → IBC “funnel factors”: cellular stress sensors to predict recurrence

An intriguing possibility relates to the occurrence of DCIS → IBC “funnel factors” (Armengol et al., 2007) through which all the pro-invasive and pro-senescence signals would inevitably have to pass. These would act as a “bottleneck” through which biophysical stress-induced senescence, OIS and EMT promoters can converge to channel the invasive/metastatic signals regardless of the upstream-specific metabolic/transforming alteration. In other words, these funnel factors should integrate a cross-talk between senescence and EMT in response to energy metabolism and oncogenic alterations to reflect the invasive potential of DCIS lesions. In this regard, a compromised retinoblastoma (RB) pathway (e.g. via loss of the RB1 itself, loss of the p16INK4A locus, translocation of cyclin D1, etc.) (Burkhardt and Sage, 2008; Weinberg, 1995) would permit DCIS cells not only to disregard bioenergetics stress signals (Espina and Liotta, 2011) but also to bypass the senescence program triggered by unscheduled oncogenic signalling (i.e. OIS). OIS relies on the activation of tumour suppressor gene networks such as RB and various mechanisms aimed to directly or indirectly inactivate the senescence function of RB (Chicas et al., 2010) can be observed in a subset

of intrinsically aggressive DCIS (Gauthier et al., 2007; Simpson et al., 2007). Moreover, abrogation of the RB tumour suppressor activity may cooperate with oncogenic signalling to promote EMT-related tumour cell invasion by suppressing, at the transcriptional level, the expression of *CDH1* (E-cadherin) gene (Arima et al., 2008; Batsche et al., 1998). Indeed, inhibition of EMT can be considered a novel tumour suppressor function of RB because:

- RB depletion reduces E-cadherin expression, disrupts cell–cell adhesion and induces a mesenchymal-like phenotype in cultured BC cells by upregulating EMT-related transcription factors (e.g. *Zeb*, *Snail*) (Arima et al., 2008).
- RB overexpression blocks the ability of the master regulator of EMT TGFβ to induce mesenchymal-like morphologies and to suppress E-cadherin expression in breast epithelial cells (Batsche et al., 1998).
- Concurrent down-regulation of RB and E-cadherin expression takes place in mesenchymal-like invasive BC (Jiang et al., 2010b).

By providing a joining point between senescence and EMT in DCIS lesions, the RB/p16INK4a tumour-suppressive pathway could be efficiently used by DCIS cells to avoid bioenergetics/oncogenic stress-induced senescence, allowing uncontrolled cell proliferation, cell motility and luminal filling and can be considered instrumental in the transition of oncogene-harboring DCIS cells to a malignant (locally invasive and metastasizing) stage. Inhibition of the RB pathway can contribute to the invasive progression of pre-malignant lesions due to not only loss of cell proliferation control (Knudsen and Knudsen, 2008) and decreased senescence (Chicas et al., 2010) but also reprogramming of somatic tumour cells to an undifferentiated CSC-like phenotype. Of note, EMT repression as a novel tumour suppressor function of RB that impedes conversion to an invasive phenotype can be linked to RB's ability to concomitantly regulate autophagy (i.e. transfer of RB to RB-null cells results in the induction of autophagy) (Ciavarrá and Zacksenhaus, 2011; Jiang et al., 2010a,b; Tracy et al., 2007). While it remains to be ascertained whether RB-mediated autophagy is required for RB-regulated senescence, RB enforced cell–cell contact inhibition appears to efficiently prevent cell outgrowth into structures where cells with CSC traits can be generated from differentiated somatic cells in advancing invasive carcinomas (Liu et al., 2009b). Accordingly, the expression of biomarkers indicative of an intact “cellular stress response” has been found to strongly associate with a disease-free prognosis in women diagnosed with DCIS whereas the expression of biomarkers indicative of an abrogated response to cellular stress predicts DCIS with worse outcome. Likewise the RB/p16INK4a pathway was identified as the most accurate predictor of recurrence and DCIS progression to EMT-enriched basal-like invasive BC (Gauthier et al., 2007).

5. The ideal DCIS → IBC inhibitory drug should impact both pro-EMT signals and pro-survival energy metabolism

Ideally, rather than affecting tumour cell proliferation or apoptosis, DCIS → IBC blockers should prevent the occurrence of the mesenchymal, motile, invasive phenotype characteristic of CSCs (Tan et al., 2009), because mammary epithelial cells and BC epithelial cells undergoing EMT induced by TGFβ exhibit better mammosphere-forming capabilities (Creighton et al., 2010; Singh and Settleman, 2010; Taylor et al., 2010; Wang et al., 2010b) and EMT inducers such as TGFβ are not able to activate the EMT genetic program when cells are locked into a senescence state (Ansieau et al., 2010; Ohashi et al., 2010). Thus optimally, DCIS → IBC blockers should drive the differentiation of mammary stem cells into

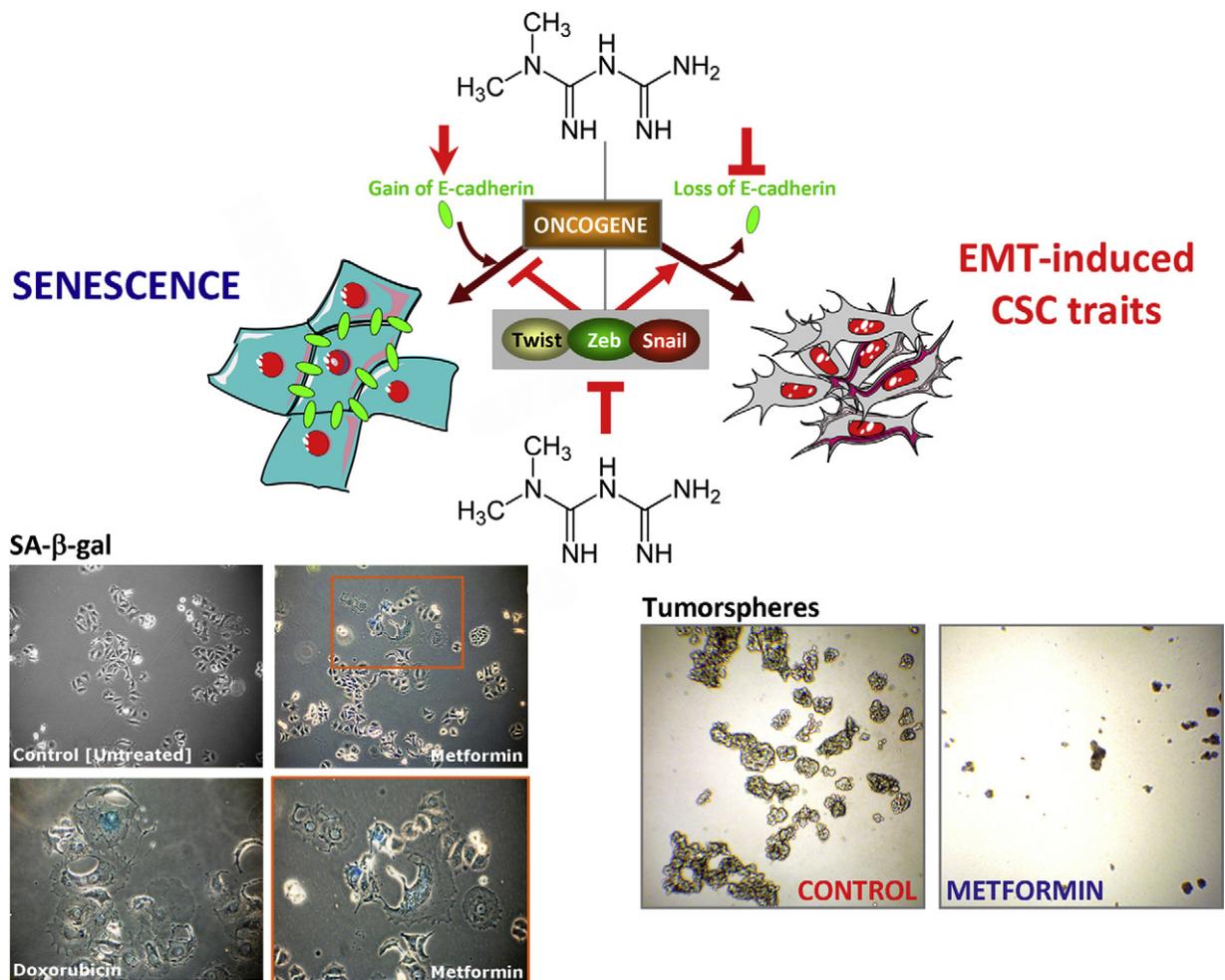


Fig. 3. Working model schematically depicting how the anti-diabetic drug metformin can alter the cross-path linking EMT and senescence to prevent cancer progression. Oncogenic stimuli can either induce senescence or EMT, depending on the cellular context. Conversely, EMT-inducing transcription factors can simultaneously suppress the oncogene-induced senescence response and induce an EMT, both phenomena contributing to malignant progression. Metformin-based strategies avenues targeting the major players promoting EMT and senescence may have a double inhibitory impact on tumour progression. Figure illustrates that metformin can function as a senescence-inducing stressor in MCF-7 breast epithelial cells (*left*) while inhibiting both the maintenance and the renewal of CSCs (*right*) – which are capable of surviving, proliferating and forming discrete clusters of cells termed tumorspheres (Dontu et al., 2003) – in MCF-7 cells engineered to stably overexpress the *ERBB2* oncogene (MCF-7/HER2 cells; Menendez et al., 2009).

ductal cells and/or convert invasive, pre-malignant DCIS progenitor cells to a more epithelioid, non-proliferating, non-metastatic phenotype (Fig. 3). On the other hand, they should inhibit adaptive changes in cellular energy metabolism, including autophagy, which facilitates a higher tolerance to limiting oxygen/nutrients availability in DCIS lesions. Agents possessing such properties, like chloroquine and metformin, will be discussed below.

5.1. Chloroquine

An impaired autolysosomal degradation and accumulation of damaged autolysosomes imposed by the lysosomotropic drug chloroquine or other chloroquine-like agents interfering with the lysosomal degradation function can be expected to cause accelerated cell death under autophagy-inducing conditions (Boya et al., 2005; Kroemer and Jaattela, 2005). Indeed, a crucial biological advantage of pharmacologically arresting autophagy to successfully prevent invasive progression of DCIS lesions relates to the fact that blocking autolysosomal degradation, e.g. by chloroquine, while allowing the autophagic sequestration to continue (e.g. in response to severe metabolic, oxidative, and chronic hypoxic stress occurring in a non-vascular intraductal space) may lead to a vicious cycle of excessive cytoplasmic vacuolization.

In this scenario, engagement of DCIS cells in a chronic autophagic response may be an Achilles heel that sensitizes DCIS progenitors to agents targeting the later steps of the autophagic process of bulk lysosomal degradation and recycling of cytoplasmic material and organelles. Of note, chloroquine-induced lysosomal dilatation not only would render autophagic recycling unproductive but it also may prevent, at least in part, the occurrence of the EMT process. TGF β can cooperate with oncogenic stimuli to cause EMT and tumour progression by favouring endocytic internalization of E-cadherin, which facilitates the dissolution of adherens junctions and lysosomal degradation of ubiquitinated E-cadherin, which impedes E-cadherin being recycled back to the lateral membrane (Palacios et al., 2005). E-cadherin trafficking to the lysosome serves as a means to ensure that epithelial cells do not reform their cell-cell contacts and phenotypically remain motile or mesenchymal. Although chloroquine-inhibited lysosomal activity cannot block the loss of E-cadherin from the junctional complexes, it can efficiently prevent E-cadherin degradation thus causing accumulation of E-cadherin vesicles (Janda et al., 2006). Whereas loss of E-cadherin via transcriptional repression is a chloroquine-unresponsive late event in EMT, the lysosomal targeting of E-cadherin is a chloroquine-responsive post-translational downregulatory mechanism to deplete E-cadherin during early

stages of oncogene-induced EMT. Further studies are warranted to evaluate whether the effect of inhibiting autophagy by chloroquine has also a significant role in TGF β and/or oncogene-induced EMT and subsequent dedifferentiation to stem cell-like states in DCIS cells.

5.2. Metformin

The biguanide derivative N,N'-dimethyl-biguanide metformin, an orally administered drug widely used to lower blood glucose concentration in patients with type 2 diabetes and metabolic syndrome, may be a novel prototype drug for preventing invasive progression of DCIS lesions via regulation of CSC biology and DCIS energy metabolism. Many preclinical studies offer molecular explanations for the effects of metformin on the proliferation and survival of fully transformed BC cells (Ben Sahra et al., 2010b; Berstein, 2010; Gonzalez-Angulo and Meric-Bernstam, 2010; Jalving et al., 2010; Martin-Castillo et al., 2010b; Pollak, 2010; Vazquez-Martin et al., 2010a,b). Initial clinical data have shown that metformin use positively associates with enhanced response to neoadjuvant therapy in BC (Jiralerspong et al., 2009). In addition, observational studies suggest that metformin may be useful in BC prevention (Ben Sahra et al., 2010a; Berstein, 2010; Giovannucci et al., 2010; Gonzalez-Angulo and Meric-Bernstam, 2010; Jalving et al., 2010; Martin-Castillo et al., 2010b; Pollak, 2010; Vazquez-Martin et al., 2010a,b). Confirming and expanding a landmark study that reported a reduction in the risk of subsequent cancer diagnosis (including BC) in patients with type 2 diabetes who received metformin and that metformin's protective effect increased with greater metformin use (i.e. dose and/or time) (Evans et al., 2005), a recently conducted retrospective study has reported an impressive 56% decrease in BC risk among diabetics receiving metformin compared with diabetics treated with other therapies (Bodmer et al., 2010). Because reductions in cancer mortality related to metformin use are similar in magnitude to reductions in cancer incidence (Pollak, 2010), it might be that metformin's anti-cancer effects largely depend on or are restricted to its preventive effects.

6. Mechanisms of metformin activity

6.1. Systemic actions of metformin to prevent DCIS \rightarrow IBC

It has been proposed (Horwitz and Sartorius, 2008), that progestins-induced reactivation of CSCs in pre-existing BC may explain why hormone replacement therapies significantly increase BC risk in some women. Because the median prevalence of invasive BC at death was 1.3% and the median prevalence of pre-invasive DCIS lesions was 8.9% in autopsy data from women over 40 years who did not have known BC during life (Welch and Black, 1997), this reservoir of undetected, pre-invasive BC lesions (or dormant CSCs within DCIS) can also underlie the apparent implausibility of increased BC risk within 2 years among diabetic women receiving the insulin analogue glargine (Hemkens et al., 2009; Jonasson et al., 2009; Smith and Gale, 2009). It is biologically plausible that hormonal factors influencing the natural history of BC disease (e.g. oestrogen, insulin, insulin-like growth factor-I [IGF-1]) could manifest their effects in unexpected short timescales considering that they do not reflect the initiation of new tumours but rather the growth of subclinical malignant lesions (Pollak and Russell-Jones, 2010). Besides genetic alterations, progression of DCIS to IBC also appears to involve environmental factors and a role has been postulated for metabolic-endocrine changes (Stoll, 2000; Stoll, 2002). Confirmed markers of high risk for BC in women such as hyperinsulinaemia and the concomitant increase in circulating levels of bioactive IGF-1 and free oestradiol positively

associates also with an increased presence of DCIS lesions. Because high levels of IGF-1 and oestrogen can favour the generation or maintenance of mammary tissue-specific stem cells (Bendall et al., 2007; Fillmore et al., 2010; Savarese et al., 2006), pharmacological measures aimed to enhance insulin sensitivity, such as metformin (Goodwin et al., 2008) might reduce the risk of invasive progression in DCIS lesions. If we speculate that regulators of breast stem cell niches – which not only supports the self-renewal and maintenance of stem cell identity but also control stem cell number and proliferation (Li and Neaves, 2006) – such as IGF-1 and oestradiol – similarly function as mitogens for DCIS tumour progenitor cells, than metformin's ability to decrease circulating insulin and IGF-1 via inhibition of hepatic gluconeogenesis (Pollak, 2008) and to inhibit ovarian steroidogenesis and, hence, systemic concentrations of oestradiol via inhibition of aromatase expression in granulosa cells (Rice et al., 2009), should impose a strict control of the number and proliferation rate of tumour-founding DCIS progenitors. This provides an efficient preventive molecular mechanism against IBC in pre- and post-menopausal women.

6.2. Cell autonomous actions of metformin to prevent DCIS \rightarrow IBC

Hirsch and colleagues demonstrated that non-cytotoxic, clinically relevant doses of metformin inhibited cellular transformation and selectively killed the CD44^{hi}CD24^{low/-} CSCs (Hirsch et al., 2009). Taking advantage of the ability of BCSCs to form multicellular “microtumours” in non-adherent and non-differentiating conditions (i.e. “mammospheres”), we have confirmed that metformin treatment reduced both mammosphere-forming efficiency (MSFE) and mammosphere size which indirectly reflects metformin's ability to suppress both stem self-renewal and progenitor cell proliferation in HER2-positive BC cell populations (Vazquez-Martin et al., 2011a,b,c) (Fig. 3). Moreover, metformin treatment significantly altered the genetic and/or epigenetic plasticity of BCSCs because it re-sensitized mammosphere-initiating, TGF β -overexpressing CSCs to ERBB2-targeted drugs (Vazquez-Martin et al., 2011a,b,c). This unexpected specific effect of metformin on CSCs within heterogeneous BC populations might be exploited to block the progression of DCIS provided that it disrupts a molecular link between self-renewal, EMT, and acquisition of a migratory phenotype in DCIS tumour progenitor cells.

6.2.1. Metformin as anti-EMT agent

Because TGF β -induced EMT promotes the ontogenesis of the CD44^{hi}CD24^{low/-} BCSC phenotype (Massague, 2008), we envisioned that metformin treatment might directly impede the BCSC mesenchymal phenotype by transcriptionally repressing the stem cell property EMT triggered in the unusual metabolic situation in DCIS cells growing in the hypoxic microenvironment of pre-malignant BC stages (Vazquez-Martin et al., 2010a,b, 2011a,b,c). Indeed, metformin dynamically suppressed the CD44^{hi}CD24^{low/-} BCSC phenotype via transcriptional repression of the pivotal inducers and drivers of the EMT machinery TGF β s, Zeb, Twist and Slug in highly metastatic basal-like BC cells (Honeth et al., 2008; Vazquez-Martin et al., 2010a,b).

6.2.2. Metformin as anti-invasive agent

Because loss of E-cadherin expression is a hallmark of cells undergoing EMT and invasive/metastatic progression of BC can be viewed as a process of progressive dedifferentiation by EMT (Peter, 2009), we proposed that metformin might prevent breast carcinogenesis by promoting a differentiated epithelial state of BC cells in an E-cadherin-related manner. In the presence of metformin, TGF β failed to downregulate membranous E-cadherin in epithelial MCF-7 BC cells (Cufi et al., 2010). Metformin-targeted

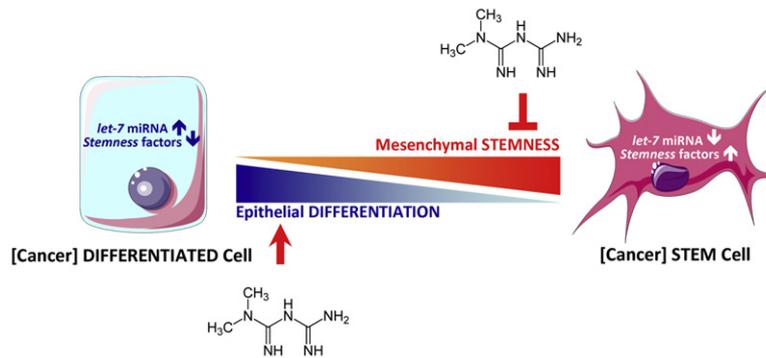


Fig. 4. Working model schematically depicting how the anti-diabetic drug metformin can alter miRNA-regulated cell differentiation to prevent cancer progression. Invasive/metastatic progression of BC can be viewed as a miRNA let-7-regulated continuum of progressive dedifferentiation (i.e. EMT) with a cell at the endpoint that has stem cell-like properties (Peter, 2009). Metformin-enhanced let-7 expression in pre-malignant cells may efficiently push them to become less “embryonic” (i.e. mesenchymal stem-like cells) and more “normal” (non-stem differentiated epithelial cells), thus blocking the dynamic nature of cellular transformation and CSC formation in response not only to oncogenes but also to the stressful local ductal tissue microenvironment.

expression of E-cadherin largely prevented the TGF β -induced scattered, fibroblast-like, spindle-shaped, elongated mesenchymal morphologies as well as the appearance of the mesenchymal marker vimentin (Cufi et al., 2010). Moreover, metformin induced accumulation of E-cadherin at sites of cell–cell contact to promote a more cuboidal appearance of epithelial MCF-7 BC cell cultures, which grew in a more compact, homogeneous structured monolayer (Cufi et al., 2010). The mechanistic explanation might be that metformin acts *via* regulation of specific micro(mi)RNAs, such as lethal-7 (let-7) (Fig. 4). Expression of the tumour suppressor miRNA let-7 is induced at late stages of development and remains upregulated in the adult organism to ensure permanent repression of embryonic genes in most terminally differentiated tissues (Mineno et al., 2006; Park et al., 2007). Accordingly let-7 is a marker of fully differentiated cells and downregulation of let-7 is a marker of less-differentiated cancer and let-7 expression is undetectable in CSCs (Shell et al., 2007; Yu et al., 2007). The ability of let-7 to regulate self-renewal of tumorigenic BC cells has suggested that restoration or enhancement of let-7 expression can be viewed as a useful therapeutic option in cancer prevention (Yu et al., 2007; Ibarra et al., 2007; Peter, 2009; Boyerinas et al., 2010; Wang et al., 2010c). Metformin has been shown to involve upregulation and maintenance of high levels of let-7a in well-differentiated, epithelioid BC cells exposed to the EMT inducer TGF β , thus epigenetically preserving the differentiated phenotype of mammary epithelium while preventing EMT-related CSC self-renewal (Oliveras-Ferraros et al., 2011).

6.2.3. Metformin as senescence-inducing agent

On the one hand, it cannot be excluded that E-cadherin has a direct role in the induction of cell senescence because several senescence players such as *p16INK4a* harbour E-boxes in their promoters (Zheng et al., 2004). On the other hand, the transcription factors *Twist* and *Zeb1* can simultaneously suppress the senescence program while inducing EMT, thus coupling invasive/metastatic spread to the bypass of senescence in response to environmental cues of primary tumour cells residing at the invasive edge (Ansiéu et al., 2008; Smit and Peeper, 2008). *Twist* suppresses, at the promoter level, the expression of genes that are central in senescence signalling including *p16INK4a* and *CDKN1A*, which encodes the cell-cycle-inhibitory protein p21^{Waf1/Cip1} (Martin and Cano, 2010; Shiota et al., 2008; Yang et al., 2010). This indirectly suggests that cells with an intact senescence program could not undergo a full EMT. Mesenchymal BC cells refractory to the cell growth inhibitory effects of metformin express significantly lower levels of p21^{Waf1/Cip1} than metformin-sensitive epithelial BC

cells and ectopic overexpression of p21^{Waf1/Cip1} re-sensitizes mesenchymal BC cells to the cell cycle arresting effects of metformin (Zhuang and Miskimins, 2008). While activation of EMT is linked to suppression of cellular senescence (Liu et al., 2008; Brabletz and Brabletz, 2010), when cancer epithelial cells are locked in a senescent state they cannot undergo EMT (Ohashi et al., 2010). Not surprisingly, cellular senescence is being increasingly recognized as a critical feature of mammalian cells to suppress tumorigenesis (Collado and Serrano, 2010) and, therefore, activating the program of senescence in tumour cells seems an attractive approach to cancer treatment (Roninson, 2003). To evaluate whether metformin can function as a senescence-inducing stressor owing its ability to modulate CDK inhibitory kinases such as p21^{Waf1/Cip1} and p27^{Kip1} (Zhuang and Miskimins, 2008; Campaner et al., 2010; Serrano, 2010), we have recently tested the hypothesis that metformin's ability to increase expression of epithelial proteins such as E-cadherin *via* inhibition of the “E-cadherin repressor interactome” (Hugo et al., 2011) may accelerate tumour cell senescence (Fig. 3). We assessed the occurrence of replicative senescence following acute exposure to metformin (4 h) using the MCF-7 cell line, which retains characteristics of differentiated mammary epithelium. β -Galactosidase expression, a marker of cellular senescence (Dimri et al., 1995; Lee et al., 2006) was present in the MCF-7 cells as early as 3 days after acute exposure to metformin (data not shown). After 1 week, histochemical staining was intense in a significant number of cultured cells. Cells expressing the senescence marker β -galactosidase were typically much larger in size and multinucleated, both of which are morphological features indicative of a senescent state (Rodier and Campisi, 2011). Acute exposure to doxorubicin (1 μ mol/L) used as a positive control (Elmore et al., 2002) induced intense β -galactosidase activity in virtually every cell of the culture. Because one could argue that metformin-promoted β -galactosidase staining in MCF-7 cells might only reflect a lack of cell division rather than true senescence, MCF-7 cells were held in a non-dividing state by serum removal for 7 days and stained for β -galactosidase. In contrast to metformin-treated cells, β -galactosidase expression was detected only very rarely in non-dividing MCF-7 cells, consistent with that observed for untreated MCF-7 cells. The EMT process, by crucially contributing to override the tumour suppressive function of cellular senescence, has been implicated in metastatic dissemination, therapeutic resistance and generation of tumour cells with stem/progenitor cell properties (Mani et al., 2008; Polyak and Weinberg, 2009). In this scenario, metformin-based strategies avenues inhibiting the major players promoting EMT to encourage senescence of transformed epithelial cells (Menendez et al., 2011) may have a multiple inhibitory

impact on the core molecular machinery driving metastatic tumour progression.

6.2.4. Metformin as multi-targeted agent

Metformin's ability to target major molecular players promoting EMT and senescence may offer even more augmented benefit for preventing the invasive progression of DCIS lesions for several reasons:

- 1 The full manifestation of both senescence bypass and activation of EMT requires the collaboration of EMT-regulating transcription factors with oncogenic signals like *ERBB2*. Thus, metformin's ability to decrease *ERBB2* tyrosine kinase activity (Alimova et al., 2009) and *ERBB2* oncoprotein expression (Vazquez-Martin et al., 2009a,b) might be highly effective against full malignant transformation by simultaneously targeting invasion, motility, and senescence in pre-invasive DCIS lesions.
- 2 A compromised function of RB such as by loss-of-heterozygosity is further altered by the expression status of proteins regulating RB phosphorylation and function in cell cycle arrest (commonly observed BC-associated *p16INK4a* loss and *cyclin D1* amplification (Bosco and Knudsen, 2007)). Because of the link between EMT transcription factor overexpression and loss-of-function of the RB pathway, metformin's ability to promote *cyclin D1* mRNA loss (Zhuang and Miskimins, 2008) and strongly reduce cyclin D1 protein level (Ben Sahra et al., 2008; Liu et al., 2009a) might efficiently restore the tumour-suppressive nature of the *p16INK4a/Cyclin D1/RB* pathway thus promoting senescence of DCIS cells in response to bioenergetics stresses.
- 3 An early activation of *de novo* (endogenous) fatty acid (FA) biosynthesis catalysed by the lipogenic enzymes acetyl-CoA carboxylase (ACACA) and FA synthase (FASN) occurs in hypoxia-tolerant DCIS cells as the need for more oxidizing power when oxygen is limiting. The FASN pathway is used to balance the cellular redox potential through its ability to consume reducing equivalents (*i.e.* NADPH) as part of its normal function (Esslimani-Sahla et al., 2007; Hochachka, 1980). Metformin-induced activation of the AMP-activated protein kinase (AMPK) rapidly induces phosphorylation of ACACA and thus blocks the formation of malonyl-CoA, the first committed molecule in the endogenous pathway of FA biosynthesis (Brunet et al., 2008). Besides metformin's ability to *acutely* shutting-down of the functioning of the lipogenic pathway in an ACACA-related manner, metformin-induced activation of AMPK may *chronically* decrease the expression of the lipogenic transcription factor SREBP1c (Eberle et al., 2004), thus suppressing the synthesis of FASN and other enzymes that participate actively in endogenous FA biosynthesis pathway (Swinnen et al., 2005). Because in order to successfully adapt to an anoxic or unstable oxic-hypoxic acidic microenvironment DCIS cells should necessarily favour synthesis and chain elongation of endogenous FA to make up for the shortfall in oxidizing power imposed by aerobic glycolysis and to allow their autonomy for their own anabolic metabolism despite surrounding starving conditions (Hochachka et al., 2002), metformin's ability to suppress the lipogenic phenotype may significantly delay progression of DCIS lesions into invasive BC (Algire et al., 2010; Alli et al., 2005; Lu and Archer, 2005; Menendez and Lupu, 2007; Menendez et al., 2004).
- 4 Metformin's ability to activate the metabolic-stress-sensing protein kinase AMPK is expected to stimulate autophagy because activation of AMPK will inhibit mTOR activity (Dowling et al., 2007; Meley et al., 2006; Zakikhani et al., 2006; Zhou et al., 2001) and blocking of mTOR is known stimulate autophagy (Rubinsztein et al., 2007). Only one study has shown that metformin induces autophagy in cancer cells (Buzzai et al., 2007) whereas other AMPK activating drugs such as AICAR have been

reported to inhibit autophagy instead (Samari and Seglen, 1998). Although it remains to be elucidated whether the effects of AMPK activating drugs on autophagy might be dose-, cell type- and/or context-dependent, it should be noted that metformin has been recently found to inhibit 2-deoxyglucose (2DG)-induced autophagy, decrease expression of beclin-1 and trigger a switch from an autophagic survival process to apoptotic cell death (Ben Sahra et al., 2010a,c). Treatment with the non-metabolizable glucose analogue 2DG mimics nutrient deprivation of tumour cells addicted to high glucose influxes (the Warburg effect). Therefore, metformin's ability to suppress Beclin 1-driven blockade of the onset of the apoptotic cascade highlights its potential use as a therapeutic metabolic perturbator in DCIS lesions as it may efficiently trigger cell death in DCIS tumour-founding progenitor cells engaged in the survival autophagic response induced by chronic exposure to hypoxia and nutrient starvation.

7. Metformin as a preventative cancer drug: a clinical perspective

The understanding of the molecular, cellular and micro-environmental factors that contribute to the pathophysiological functioning of pre-malignant lesions may offer new inroads for arresting cancer invasion and metastasis at the pre-malignant stage. In this regard, the interest on cancer-associated metabolic perturbations, such as the Warburg effect, lipid metabolism and autophagy is growing from the cancer prevention perspective. We have learned that new drugs aimed to prevent cancer need to have proven safety for long-term administrations and must first be shown to be efficacious in reducing cancer incidence or mortality (Kelloff and Sigman, 2007). Metformin has a well-established safety and tolerability profile and epidemiological data have repeatedly shown a lower risk of cancer onset (incidence) and mortality of patients with type 2 diabetes who use metformin compared with non-users. This fact, together with the ever-growing description of metformin's anti-cancer actions in rodents and in cultured tumour cells, appears to delineate by far the most favourable clinical scenario for metformin-based cancer prevention trials. However, it has been recently suggested (<http://clinicaltrials.gov/ct2/results?term=metformin+cancer>), that the use of metformin in ongoing and planned clinical trials (Anisimov, 2010; Goodwin et al., 2011; Martin-Castillo et al., 2010a) would be restricted to subpopulations defined by host insulin levels and/or loss of the AMPK kinase LKB1 (Algire et al., 2011; Memmott and Dennis, 2009). Regardless LKB1 status, metformin treatment was found to abolish the excess tumour growth associated with a high-fat diet and hyperinsulinaemia (Algire et al., 2011). Conversely, the anti-neoplastic activity of metformin, regardless insulin levels, was confined to LKB1-deficient tumours in mice without diet-induced hyperinsulinaemia (Algire et al., 2011). In this scenario, metformin-based large-scale cancer prevention trials would be more justifiable if we could provide criteria to specify high-risk populations in which metformin is expected to provide a greater benefit (Pollak, 2010). We now propose that, beyond insulin- and/or LKB1/AMPK-dependency of the anti-proliferative effects of metformin against proliferating, differentiated tumour cells, metformin's ability to regulate CSC biology might rather explain beneficial effects of metformin in cancer prevention. From a CSC-oriented perspective, and given that DCIS lesions do contain pre-existing carcinoma precursor cells (Espina and Liotta, 2011; Espina et al., 2010), it would be of interest to evaluate whether metformin use in non-diabetic women may reduce the expected progression rate (12–15%) of DCIS lesions to invasive BC. By utilizing precedent trial designs for tamoxifen-based neoadjuvant therapy of DCIS (Fisher et al., 1998), it might

be reasonable to open a clinical trial for metformin-based neoadjuvant therapy of DCIS in which metformin will be administered after diagnosis by a primary biopsy but before the commencement of standard-of-care surgical therapy. By employing precedent trial designs aimed to evaluate the anti-CSC activity of molecularly targeted drugs in a neoadjuvant setting (Li et al., 2008; Lacerda et al., 2010), paired core biopsies would be obtained from patients with DCIS before and after treatment with neoadjuvant tamoxifen plus/minus metformin (oestrogen receptor [ER]-positive DCIS) or metformin alone (ER-negative DCIS). Cells isolated from biopsy samples taken before and after this therapy would be assayed for the proportion of tumorigenic cells and the ability to form mammospheres *in vivo* as an indication of self-renewal. This approach may be particularly relevant when considering that autophagy has a primary pro-survival role during tamoxifen challenge and that autophagy knockdown may be useful in a combination therapy setting to sensitize tumour cells to tamoxifen therapy (Qadir et al., 2008; Samaddar et al., 2008; Schoenlein et al., 2009).

8. Conclusion

Cancer prevention remains the most promising strategy to reduce the burden of cancer. The ideal prevention strategy of the future would employ a limited course of low toxicity therapy to suppress or eradicate pre-malignant lesions in high-risk cancer patients (Espina and Liotta, 2011). If treating BC before it can become invasive is indeed analogous to the prevention of colon cancer by the removal of pre-malignant polyps (Kelloff and Sigman, 2007; O'Shaughnessy et al., 2002), the recent knowledge gained from a pilot clinical trial that provided evidence that short-term, low-dose metformin (250 mg once daily for 1 month *versus* typical 500 mg three times daily in diabetes) safely and directly suppresses both colorectal epithelial proliferation and aberrant crypt formation (Hosono et al., 2010), might provide a strong support basis for the clinical application of metformin and other biguanides aimed at suppressing or eradicating pre-malignant lesions.

Chloroquine, the old anti-malarial medication, and metformin, the old anti-diabetes medication, appear to represent successful examples of drug repurposing aimed to eliminate CSC traits in pre-malignant intraepithelial lesions. Although many pharmaceutical companies are currently developing anti-CSC drugs following traditional *de novo* drug discovery and development – a process that can take 10–17 years from idea to marketed drug (Ashburn and Thor, 2004; Reichert, 2003) – both the development time and risk can be significantly reduced using anti-CSCs repositioning candidates that have been through several stages of clinical development and therefore have well-known safety and pharmacokinetic profiles (Ashburn and Thor, 2004). A further exploration of the unexpected anti-CSC repurposing opportunities of chloroquine and metformin, however, might be problematic because these drugs are both *off-patent* and therefore generic. Mechanisms proposed to justify investment of new indications for old medicines (Ashburn and Thor, 2004; Morgan, 2010) should now ensure that our ever increasing knowledge of molecular and pathway biology for successful and specific targeting of CSCs may rapidly guide new hypothesis-driven clinical trials aimed to successfully arrest metastatic progression of human malignancies early in the course of the disease. The recent recognition that the malignant phenotype of epithelium-originated carcinomas already exists in cells within intraepithelial pre-malignant lesions (Espina et al., 2010) should accelerate our scanning of existing pharmacopoeia for rapidly repositioning FDA-approved drugs to target biologically distinct regulatory events responsible for the emergence of cancer stemness and cellular invasiveness within a chronically stressed pre-invasive niche.

Conflicts of interest

None to declare.

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